Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-17**

of M/s. MND Investment (Pvt) Ltd

Submission Date 08-FEB-2017 13:06:55

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collateral upon entering into contract, iii. Net amount after deducting haricut iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 2,701,556 0 2,701,556 iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 1,586,445 1,500,912 1,500,912 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 1,586,445 1,500,912 1,500,912 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 0 0 0 vi. 100% haircut in the case of amount receivable form related parties. 0 0 0 0 1.18 Cash and Bank balances 783,603 783,603 783,603 783,603 ii. Bank balance-customer accounts 1 1 24,096,310 0 0 1.19 Total Assets 70,170,544 32,096,439 39,489,484 2 Liabilities 0 0 0 1.19 Total Assets 0 0 0 0 1.19 Trade Payables 0 0 0 0 <td< td=""><td></td><td>ii. Net amount after deducting haircut</td><td></td><td></td><td></td></td<>		ii. Net amount after deducting haircut			
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ii. Payable against leveraged market products 0 0 iii. Payable to customers 28,553,907 0 28,553,907 2.2 Current Liabilities 0 0 i. Statutory and regulatory dues 0 0	2.1		0	0	
2.2 Current Liabilities		ii. Payable against leveraged market products	0	0	
i. Statutory and regulatory dues 0 0	2.2		28,553,907	0	28,553,907
	2.2		0	0	

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-17**

of M/s. MND Investment (Pvt) Ltd

Submission Date 08-FEB-2017 13:06:55

	Submission Date 00-1ED-2017 13.00.33	Page	2 Of 2	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Short-term borrowings	3,300,076		
	iv. Current portion of subordinated loans v. Current portion of long term liabilities	0		
	vi. Deferred Liabilities	0		
	vii. Provision for bad debts	0	-	
	vili. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements	0	-	
	Non-Current Liabilities	ŭ		
	i. Long-Term financing	0	-	
	ii. Staff retirement benefits iii. Other liabilities as per accounting principles and included in the financial statements	0		
	Subordinated Loans	0	0	
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted	0	0	
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	32,078,511	0	32,078,511
	Ranking Liabilities Relating to :	32,070,311	0	32,070,311
	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	0	0	
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market which of securities pladged as margins exceed the 110% of the market value of charges	0	0	
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
	Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription	0	0	
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments	0	0	
	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	0	0	
	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
	Amount Payable under REPO Repo adjustment	0	0	
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market	0	0	
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	341,610	341,610
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities	0	0	
	exchange after applyiong VaR haircuts ii. In case of proprietary positions , the total margin requirements in respect of open positions to the	0	0	
3.10	extent not already met			
0.10	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet	0	0	
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. Total Ranking Liabilites	0	341,610	341,610 7,069,363