# Liquid Capital Statement

for the month of 31-JUL-17

### of M/s. MND Investment (Pvt) Ltd

Submission Date 15-AUG-2017 13:25:56

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
		run nupcc	najasemenes	Varue
1	Assets			
1.1	Property & Equipment	745,558	745,558	
1.2	Intangible Assets	11,000,000	11,000,000	
1.3	Investment in Govt. Securities	0	0	
1.4	Investment in Debt. Securities			
	If listed than:  i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	0	-	
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.	0		
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	0	-	
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	2,367,969	355,195	2,012,774
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	47 242 696	47 242 606	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	17,343,686		
	provided that shares have not been alloted or are not included in the investments of securities broker.	_		
1.6	Investment in subsidiaries	0	0	
1.7	Investment in associated companies/undertaking	-	_	
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.	0	0	
	ii. If unlisted, 100% of net value.	0	0	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	600,000	600,000	
1.0	or any other entity.	4.500.000		4 500 000
1.9	Margin deposits with exchange and clearing house.  Deposit with authorized intermediary against borrowed securities under SLB.	1,500,000	0	, ,
1.11	Other deposits and prepayments	878,173		
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	0	0	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	0	0	
1.13	Dividends receivables.	0		
1.14	Amounts receivable against Repo financing.	0		
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	150,352	0	150,352
1.15	i. Short ferrit Edut to Employees. Eduts die Seedied dita Due for repayment Within 12 months	100,002	J	100,002
	ii. Receivables other than trade receivables	0	0	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.	0	0	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	47,471	0	47,471
1.17	Receivables from customers	,		,
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	0	0	
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	0	0	
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	0	0	
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,058,351	0	1,058,351
	iv. Balance sheet value	1 001 501	4 700 057	4 700 057
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	1,861,521	1,780,857	1,780,857
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.10	vi. 100% haircut in the case of amount receivable form related parties.	0	0	
1.18	Cash and Bank balances	0.047.044	_	0.047.044
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	2,217,344 20,860,097		
L	iii. Cash in hand	0	0	, ,
1.19	Total Assets	60,630,522	32,703,469	29,627,246
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	1,899,928		
	ii. Payable against leveraged market products iii. Payable to customers	18,983,554	0	
	Int. I dyable to easternes	10,503,334	ı	10,300,004

# Liquid Capital Statement

for the month of 31-JUL-17

### of M/s. MND Investment (Pvt) Ltd

Submission Date 15-AUG-2017 13:25:56

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Current Liabilities   0	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
Standardy and regulatory datas   0   0   0   499,545   0			Pak Rupee	Adjustments	
Standardy and regulatory datas   0   0   0   499,545   0	2.2	Current Liabilities			
iii. Short - term becoming:  iii. Deviser of a facetist of the short of th			0	0	
is Current justicent of subordinated beams.  C. Schemic patient of long term libelities.  C. Schemic patient libelities as per accounting principles and included in the financial statements.  C. Schemic patient libelities.  C. Schemic patient libelities.  C. Schemic libelities as per accounting principles and included in the financial statements.  C. Schemic libelities.  C. Sche			/		/
V. Cuerel portion of from term ficialities  V. Description for isociation  V. Description for isociation  V. Chemical publishes as per accounting principles and included in the financial statements  V. One disabilities as per accounting principles and included in the financial statements  V. One current liabilities  V. One current liabilities  V. One current liabilities  V. One current financing obtained from financial institution tong term portion of financing obtained from  V. One current financing obtained from financial institution tong term portion of financing obtained from  V. One control of the current of					
Provision for trade doubts   0   0   0   0   0   0   0   0   0				-	
Will Provision for travastion   Comment   Co					
X. Other liabilities as per accounting principles and included in the financial statements   0   0   1   1.009, Form financing   0   0   0   0   0   0   0   0   0				-	
Long-Term financing obtained from financial institution Long term postion of financing obtained from a financial institution including amount due against finance lease				-	
a. Long- learn financing obtained from financial institution Long against financial celeare  D. Other borg- term financing D.	2.3	Non-Current Liabilities			
a financial institution including amount due against finance lease  0		i. Long-Term financing			
b. Other long-term financing  ii. Staff retirement benefits  iii. Advance against shares for fundesse in Capital of Securities brokes 100% haircuit may be allowed in expect of advance against shares for fundesse in Capital of Securities brokes 100% haircuit may be allowed in expect of advance against shares for fundesse in Capital b. Boad of Directors of the company has approved the increase in capital c. Relievant Regulators papevoks have been ortholised d. Inere is no unreasonable delay in issue of shares against deviance and all regulatory requirements relating to the increase in goal up capital have been completed.  2.4 Subnordinated Loans.  2.4 Subnordinated Loans are subnordinated and included in the financial statements 0. 0  1.00% of subnordinated loans which fulfill the conditions specified by SCP are allowed to be deducted. 1.00% of subnordinated loans which fulfill the conditions specified by SCP. Per beneated to the schedule lip provides that 100% haircuit but be allowed against subnordinated clains which fulfill the conditions specified by SCCP. In this regard, following conditions are specified: a. Loan against many papers and must be allowed as the relationship of the specified by SCP. Per beneated the amount to be repaid after 12 months of reporting period after 12 months of reporting peri			0	0	
ii. Staff retitement benefits iii. Advance against shares for increase in Capital of Securities brokes 100% haircut may be allowed in respect of advance against shares. If it is shared to the company has approved the increase in capital to be dead of Directions of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. Increase in ournease obtained delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed capital in the capital flavor been completed capital in the capital intervent of the capital flavor been completed capital in the capital intervent intervent in the capital intervent in the capital intervent intervent in the capital intervent interve			0	0	
respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Bodd of Directors of the company has approved the increase in capital c. Release in Regulatory approvals have been challened c. Release in Regulatory approvals have been challened c. Release in Regulatory approvals have been challened c. Auditor is sattlefied that such advances of satisfied c. Auditor is sattlefied that such advances in capital v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities of the statement of the separate of the statement of the separate of the		ii. Staff retirement benefits		-	
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b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulators approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements d. Autrio is not unreasonable delay in issue of shares against advance and all regulatory requirements d. Autrio is statisfied that such advances in superists in increase of capital. v. Other liabilities as per accounting principles and included in the financial statements.  2.4 Subordinated Loans 100% of subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: 0. Incomment of the statement of the statement of the conditions specified in the conditions specified the statements. 0. Incomment of the statement of the conditions specified as Loan agreement must be executed on staring paper and must clearly reflect the amount to be repaid a Loan agreement must be executed on staring paper and must clearly reflect the amount to be repaid to No haircut will be ellowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. 1. Subordinated loans which do not fulfill the conditions specified by SECP 0 0 0  2.5 Total Liabilities 2.4,683,101 3. Concentration in Margin Financing 1. Experiment of the statement of the s					
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1.00% of Subordinated leans which fulfill the conditions specified by SECP are allowed to be deducted:			0	0	
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after 12 months of reporting period b. No halcut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Uquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP  2.5 Total Liabilities 2.4,683,101 0.24,683,101 3. Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client—to—client basis by which any amount receivable from any of the finances exceed to 60 of the agargeate of amounts receivable from any of the finances exceed to 60 of the agargeate of amounts receivable from total financess.  Concentration in Securities lending and borrowing The amount by which the agargeage of of Of On-Amount deposited by the borrower with NCCPL (II) Cash margins paid and (III) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowerd  3.3 Net underwriting Commitments (II) In the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (I) the 50% of Haicut multiplied by the underwriting commitments and (II) the value by which the underwriting commitments sceeds the market price of the securities in the case of right issuse where the market price of securities is geater than the subscription price, 5% of the flaturul multiplied by the net underwriting commitments  0 0 0  3.4 Negative equity of subsidiary  Foreign exchange agreements and foreign currency positions So of the net position in foreign currency positions So of the net position in foreign currency. Position in foreign currency  1. Repo adjustment In the case of financie in foreign currency position in foreign currency  2. Amount Payable under REPO  3. Repo adjustment In the case of financie in foreign currency because the substidiary of the market value of underlying securities after applying haircut less the total amount					
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total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.					
applying haircut less any cash deposited by the purchaser.					
3.8   Concentrated proprietary positions		applying haircut less any cash deposited by the purchaser.			
	3.8	Concentrated proprietary positions			

# Liquid Capital Statement

for the month of 31-JUL-17

of M/s. MND Investment (Pvt) Ltd

Submission Date 15-AUG-2017 13:25:56

Page 3 Of 3

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	236,797	236,797
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	0	0	
3.10	extent not already met  Short selll positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the	0	0	
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	0	236,797	236,797
3.12	Liquid Capital	35,947,421	32,466,672	4,707,348