Liquid Capital Statement

for the month of 31-JUL-19

of M/s. MND Investment (Pvt) Ltd

Submission Date 09-AUG-2019 14:58:29

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1.1 Property & Equipment 1.2 Interruptive Assistance 1.3 Investment in Good Securities 1.3 Investment in Good Securities 1.4 Investment in Good Securities 1.5 Interruptive Assistance 1.5 Interruptive Interruptive Assistance 1.5 Interruptive Interruptiv	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
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ii. Bank balance-customer accounts 1,714,597 0 1,714,597	1.18		1057000		1057.000

Liquid Capital Statement

for the month of 31-JUL-19

of M/s. MND Investment (Pvt) Ltd

Submission Date 09-AUG-2019 14:58:29

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Total Assets 44,181,109 25,787,241 22,268,686					
Library Libr	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Liberature Department Dep	1.19	Total Assets	44,181,109	25,787,241	22,266,868
Payable to customes 0 0 1	2				
Department of newthorages and clearing phases 0 0 0	2.1				
Bygetile against leveraged maket products 0 0 1. Bygetile to calcimises 0 0 2.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.769, 165 0 3.774 0			0	0	
Current Liabilities		ii. Payable against leveraged market products			
Listratory and requisitory duels Li Accordant and offers prospetibles Li Accordant and offers prospetibles Li Short: term bonowings Li Control potation of subdodinated loans V. Centered Listellines V. Deferred Listellines	2.2		3,769,185	0	3,769,185
Accuración and entre payables 195,734 0 195,734 0 195,734 0 195,734 0 195,734 0 195,734 0 195,734 0 195,734 0 0 0 0 0 0 0 0 0	2.2				
ii. Sheat - term benovings			-		
v. Current portion of subordinated cleans v. Current portion v. Current por					, -
vi. Derferred Liabilities vi. Provides for bar debts vi. Provides for bar barbon vi. Provides for bar barbon vi. Provides for barbon vi. Staff retirement benefits vi. Provides for barbon vi. Vi. Provides for barbon vi. V		iv. Current portion of subordinated loans			
viii. Provision for bad debts viii. Other labilities as per accounting principles and included in the financial statements 0 0 0 1 0 0 2.3 NonCurrent Liabilities 1 Long-Term financing 3 Long-Term financing 3 Long-Term financing 3 Long-Term financing 4 Long-Term financing 5 Long-Term financing 5 Long-Term financing 6 Long-Term financing 6 Long-Term financing 7 Long-Term financing 8 Long-Term financing 8 Long-Term financing 9 Long-Term financing 9 Long-Term financing 9 Long-Term financing 1 Long-Ter					
viii Provision for Lassition viii biblities as per accounting principles and included in the financial statements viii biblities as per accounting principles and included in the financial statements viii biblities vii					
S. Other liabilities as per accounting pinciples and included in the financial statements 0 0					
Liong-Term financing 0 0 0 0 0 0 0 0 0					
a Long-term financing obtained from financial institution Long term portion of financing obtained from 0 a financial institution including amount due against finance lease 0	2.3				
b. Other long-term financing 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	0	0	
iii Advance against shares for increase in Capital of Securities boker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. These is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been compileted. i. Other liabilities as per accounting principles against advance and all regulatory requirements relating to the increase in paid up capital have been compileted. i. Office of Subordinated Loans i. Tolks of Subordinated Loans which fulfill the conditions as specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. If subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities Raining Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. 3.3 Net underwriting Commitments (a) In the case of right issue where the market price of securities is less than or equal to the subscription price, 5% of the relation of			0	0	
respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Disectors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relevant for the increase in paid up capital have been completed relevant for the increase in paid up capital have been completed relevant for the increase in paid up capital have been completed relevant for the increase in paid up capital have been completed relevant for the increase in paid up capital have been completed relevant for the paid of the					
2.4 Subordinated Loans Li00% of Subordinated loans which fulfill the conditions specified by \$FCP are allowed to be deducted:		respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.		·	
1.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			0	0	
The Schedule III provides that 100% halicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No halicut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 7 total Liabilities 7 a Ranking Liabilities Relating to: 8 a Ranking Liabilities Relating to: 8 a Ranking Liabilities Relating to: 9 a Ranking Liabilities Relating to: 10 a Ranking Liabilities Relating to: 11 a Ranking Liabilities Relating to: 12 a Concentration in Margin Financing 13 b Renount calculated client-to-client basis by which any amount receivable from any of the financees sexceed 10% of the aggregate of amounts receivable from total financees. 13 c Concentration in securities lending and borrowing Ine amount by which the aggregate of amounts receivable from total financees. 14 c Concentration in securities lending and borrowing Ine amount by which the become with NCCPL (I) Cash margins paid and (III) he market value of securities pledged as margins exceed the 110% of the market value of shares borrowed with such as the subscription of the securities in the case of right issues: if the market value of securities is less than or equal to the subscription of price: the aggregate of: (I) the market value of securities is less than or equal to the subscription of price: the aggregate of:	2.4				
3 Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client- to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (1) Amount deposited by the borrower with NCCPL (10) Cash margins paid and (11) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (1) the 50% of Halicut multiplied by the underwriting commitments and (1) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 0 0 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) 5. Foreign exchange agreements and foreign currency positions 5. Foreign exchange agreements and foreign currency means the difference of total assets denominated in foeign currency.Net position in foreign currency means the difference of total assets denominated in foeign currency less total liabilities denominated in foreign currency 0 0		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (1) Amount deposited by the borrower with NCCPL (1) Cash margins paid and (1) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (1) the 50% of Halicut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) a Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) 5.5 Foreign exchange agreements and foreign currency positions 5.5 of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foeign currency less total liabilities denominated in foreign currency. 3.6 Amount Payable under REPO 0 0	2.5	Total Liabilites	3.904.919	0	3,904,919
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3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Halicut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency Net position in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO 0 0					
(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency 3.6 Amount Payable under REPO 0 0	3.2				
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price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments 0 0 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) oexceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO 0 0 0	3.3	Net underwriting Commitments			
(b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Secretary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Foreign exchange agreements and foreign currency positions for the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO O O		price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of	0	0	
3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) oversigned the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions oversigned the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO No 0 0 0 0 0 0 0 0 0 0 0 0 0			0	0	
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO 0 0	3.4			0	
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO 0 0		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO 0 0	3.5				
3.6 Amount Payable under REPO 0 0		5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
3.7 Repo adjustment		Amount Payable under REPO	0	0	
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 31-JUL-19

of M/s. MND Investment (Pvt) Ltd

Submission Date 09-AUG-2019 14:58:29

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions	0	0	
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	281,017	281,017
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts	0	0	
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	004.047
3.11	Total Ranking Liabilites Liquid Capital	40,276,190	281,017 25,506,224	281,017 18,080,932