Liquid Capital Statement

for the month of 31-OCT-18

of M/s. MND Investment (Pvt) Ltd

Submission Date 12-NOV-2018 17:10:21

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1				
1.1	Assets	650,746	650,746	
	Property & Equipment	· ·	<u> </u>	
1.2	Intangible Assets	9,500,000	9,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities	0	0	
'	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	0		
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.	0	-	
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	0		
1.5	Investment in Equity Securities	0	0	
1.0	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	2,917,255	454,639	2,462,616
	respective securities whichever is higher.	2,017,200	10 1,000	2,102,010
	ii. If unlisted, 100% of carrying value.	8,692,944	8,692,944	
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	0	0	
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	0	0	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)		Ü	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries	0	0	
1.7	Investment in associated companies/undertaking		v	
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities	0	0	
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value.	0		
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	1,600,000	1,600,000	
1.9	Margin deposits with exchange and clearing house.	6,000,000	0	6,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	0		-,,
1.11	Other deposits and prepayments	854,171	854,171	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	0	0	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	0	0	
1.13	Dividends receivables.	0	0	
1.14	Amounts receivable against Repo financing.	0	0	
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
1.15	n. Short ferm Loan to Employees: Loans are secured and Due for repayment within 12 months	0	U	
	ii. Receivables other than trade receivables	0	0	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains.	0.044.000	0	0.044.000
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	3,641,002	0	3,641,002
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	0	0	
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)		Ü	
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut	0	0	
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as	0	0	
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	83,258	0	83,258
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	1,144,843	997,743	997,743
	value of securities purchased for customers and held in sub-accounts after applying VAR based	1,11,010	001,110	33.,
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.	_	^	
1.18	vi. 100% haircut in the case of amount receivable form related parties.	0	0	
1.10	Cash and Bank balances i. Bank Balance-proprietory accounts	9,429,031	0	9,429,031
	ii. Bank balance-customer accounts	2,750,270		
	iii. Cash in hand	0		

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of M/s. MND Investment (Pvt) Ltd

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2 Liabilities	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Finder Payables	1.19	Total Assets	47,263,520	22,750,243	25,363,920
Engage to exchange and clearing above 0 0 0	2	Liabilities			
Psychological postulation of the company to the c	2.1				
II Payable to customes			•		
Current Liabilities			· ·		6 154 348
Executais and other payables 200,450 0 200,450 Execute product of advanced bears 0 0 0 0 0 0 0 0 0	2.2		0,101,010	0	0,101,010
Ill Short term benominated 0 0 0 0 0 0 0 0 0					
Current portion of subcerdinated learns 0			,		200,450
V. Current portion of long term liabilities vi. Derivation for basid debts vi. Long-1-term financing vi. Derivation for financing debts for financial institution including amount debt debts and single financial financial final financial financi					
vii. Provision for backeting viii. One of the backeting viii. Provision for backeting viii. Long Ierm financing viii. Sulfir objecting financing viii. Advance against shares for increase in Capital of Securities backet 100% halecut may be allowed in versect of advance against shares for increase in Capital of Securities backet 100% halecut may be allowed in versect of advances against shares in viii. In the estating authorized share capital alloys backet 100% halecut may be allowed in violating to the increases in paid up capital hands be contained d. There is no unreasonable delay in issue of shares against advance and all regulatory sequirements volating to the increases in paid up capital hands be deen completed. • Auditor is satisfied that such advance is against the hexages of capital violating to the increases in paid up capital hands and included in the financial statements • Subordinated Clariss **Subordinated Clariss **Subordinated Clariss *** Subordinated Clariss *** Subordinated Clariss *** The Subordinated			-	-	
will Provision for Exaction 0 0 0 1 1 1 1 1 1 1					
S. Other liabilities as per accounting principles and included in the financial statements			-		
Long-Term financing			-		
a Long-term financing obtained from financial institution including amount due against finance lease b. Other long-term financing l. Staff retelement benefit benefit benefit including amount due against finance lease 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2.3				
a financial institution including amount due against finance lease b. Other long-term financing ii. Asternova against share to execute the proposed enhanced share capital iii. Advance against share to execute the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 6. Auditor is stufffed that such advance is of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 6. Auditor is stufffed that such advance is of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 6. Auditor is stufffed that such advance is of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 6. Auditor is stufffed that such advance is of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 8. Other biblioties as perfection of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the against advance and study of the study of the study of the study		i. Long-Term financing			
b. Other long-term financing			0	0	
B. Staff retrement benefits 0 0 0 0 0 0 0 0 0			0	0	
iii. Advance against shares for increase in Capital of Securities botker 100% haircut may be allowed in respect of advance against shares if: a. The existing authorited share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approves have been obtained d. There is no unreasonative delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been compitated. i. Other liabilities as part of the increase and all regulatory requirements relating to the increase in paid up capital have been compitated. i. Other liabilities as part accounting principles and included in the financial statements 0					
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IV. Other liabilities as per accounting principles and included in the financial statements 0 0		relating to the increase in paid up capital have been completed.			
Subordinated Loans		e. Auditor is satisfied that such advance is against the increase of capital.	0	0	
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3.1 Concentration in Margin Financing The amount calculated client -to - client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) vexceed the total liabilities of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency Position in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO O O Amount Payable under REPO O O Amount Payable under REPO O O Amount Payable under REPO	2.5	Total Liabilites	6,354,798	0	6,354,798
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3.6 Amount Payable under REPO 0 0					
3.7 Repo adjustment		Amount Payable under REPO	0	0	
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of **31-OCT-18**

of M/s. MND Investment (Pvt) Ltd

Submission Date 12-NOV-2018 17:10:21

Page 3 Of 3

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	0	0	
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	289,720	289,720
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	0	289,720	· ·
3.12	Liquid Capital	40,908,722	22,460,523	18,719,402