Liquid Capital Statement

for the month of 31-JAN-20

of M/s. MND Investment (Pvt) Ltd

Submission Date 12-FEB-2020 12:33:37

Page 1 Of 3

Assets A
1 Property & Equipment 563,087 563,087 563,087 563,087 12 Institute 12 Institute 13 Institute 14 Institute 14 Institute 15
1.7 Property & Equipment
1.7 Property & Equipment
13 merement in Cord Securities 9,500,000 9,500,000 9,500,000 1.3 merement in Debt Securities 0 0 0 1.3 merement in Debt Securities 0 0 0 0 1.3 merement in Debt Securities 0 0 0 0 1.5 % of the balance sheet value, in the case of fenue upto 1 year 0 0 0 0 1.7 % of the balance sheet value, in the case of fenue upto 1 year 0 0 0 0 0 0 0 0 0
1.4 Investment in Dobt Securities 0 0 0
Investment in Debt. Securities
If IIsled than: 1.5% of the balance sheet value in the case of tenure upto 1 year. 1.5% of the balance sheet value in the case of tenure tom 1-3 years. 0.0.0.0.1.1.10% of the balance sheet value in the case of tenure tom 1-3 years. 0.0.0.0.1.1.10% of the balance sheet value in the case of tenure upto 1 year. 1.10% of the balance sheet value in the case of tenure upto 1 year. 1.10% of the balance sheet value in the case of tenure upto 1 year. 1.10% of the balance sheet value in the case of tenure upto 1 year. 1.10% of the balance sheet value, in the case of tenure from 1-3 years. 0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.
II. 7,5% of the balance sheet value, in the case of tenue from 1-3 years.
iii.19% of the balance sheet value in the case of tenure of more than 3 years. 0 0 0 0 0 0 0 0 0
If unlisted than:
1.10% of the balance sheet value in the case of tenure upto 1 year. 0 0 0
ii. 125% of the balance sheet value, in the case of tenue from 1-3 years. iii. 15% of the balance sheet value, in the case of tenue of more than 3 years. o 0 0 investment in Equity Securities If listed 15% of Vash of each securities on the cutoff date as computed by the Securities Exchange for espective securities whichever is higher. ii. If unlisted 15% of Vash of each securities on the cutoff date as computed by the Securities Exchange for espective securities whichever is higher. iii. Funlisted 1,00% of carrying value. iii. Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money against Investment in Poloffer for Sale: Amount paid as subscription money against Investment in Poloffer for Sale: Amount paid as subscription money against Investment in any asset including shares of listed securities of that are in Block, freeze or Priedge status as on reporting date. (July 19, 2017) Provided that 10% haircut shall not be applied in case of investment in those securities which are Pletdged in favor of Sanits against Short Item financing against Mergin Financing requirements or pledged in favor of Sanits against Short Item financing arrangement; in such cases, the haircut as provided in favor of Sanits against Short Item financing arrangement; in such cases, the haircut as provided in favor of Sanits against Short Item financing requirements of pledged in favor of Sanits against Short Item financing requirements of pledged in favor of Sanits against Short Item financing requirements of pledged in favor of Sanits against Short Item financing requirements of pledged in favor of Sanits against Short Item financing arrangements in such cases, the haircut as provided in favor of Sanits against Short Item financing arrangement in such financial short Item financing arrangement in such financial short Item financing arrangement in such financial Item financi
Investment in Equity Securities It listed 15% or 48 or 6 ach securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. It is furtised 15% or 6 carrying value. It listed 15% or 8 of carrying value. It subscription money against Investment in IPO/offer for Sale. Amount paid as subscription money 18,238,301 18,2
i.if listed 15% or VeRt of each securities on the cutoff date as computed by the Securities Exchange for tespective securities whichever is higher. ii. if unisted 10% of carving value. iii. Subsciption money against investment in IPOloffer for Sale: Amount paid as subscription money good on the paid of
respective securities whichever is higher. Il. If unisted, 100% of carrying value. Ill is unisted, 100% of carrying value. In unisted, 100% halicut shall be applied to Value of Investment in any asset including shares of listed securities In unisted, 100% halicut shall not be applied in case of investment in those securities which are Pledged in favor of Banks against Short Term financing arrangements. In such cases, the halicut as provided in a favor of Banks against Short Term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such cases, the halicut as provided in short term financing arrangements. In such case, the halicut as provided in short term financing arrangements in such cases, the halicut as provided in short term financing arrangement shall not be included in the investments.) In the foreign arrangement term financing arrangement shall not be included in the investments.) In the foreign arrangement term financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut, (ii) cash deposited as collateral by
I. If unlisted, 100% of carrying value. 18,238,301 18,238,301 III subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money or povided that shares have not been alloted or are not included in the investments of securities broker. 0 0 0 0 0 0 0 0 0
ili Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money on optivided that shares have not been allotted or are not included in the investments of securities troker. iv. 100% Haircrut shall be applied to Value of Investment in any asset including shares of Isled securities iv. 100% Haircrut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Cleaning Nouse against Margin Financing requirements or pledged in favor of Stanks against Short Ierm financing arrangements. In such cases, the haircut as provided in several to the Regulations in respect of investment in such cases, the haircut as provided in schedule Ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking I. If Islated 20% or VaR of each securities as computed by the Securites Exchange for respective securities o 0 0 1.8 Statutory or regulatory deposits basic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 0 1.11 Other deposits with exchange and clearing house. 1.12 Other deposits and prepayments 1.13 Dividends receivables. 0 0 0 1.14 Amounts receivables against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.16 Receivables other than trade receivables 1.17 Receivables of maikup accrued on loans to directors, subsidiaries and other related parties 0 0 0 1.18 Receivables other than those on account of entititienments against trading of securities in all markets including MMM gains. 1.19 Receivables other than those on account of entititienments against trading of securities in all markets including MMM gains. 1.10 Receivables from customers: 1.10 Incase receivable
iv.100% Halicut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledges status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financinia requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financinia requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financinia requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financinia requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financinia requirements or pledged in favor of Stock Exchange for respective securities or one of the school o
that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% halicut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Identification in subsidiaries and the schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in associated companies/lundertaking I. If listed 20% or Valk of each securities as computed by the Securities Exchange for respective securities I. If unlisted, 100% of net value III. III. In unlisted, 100% of net value III. III. III. III. III. III. III. III
Provided that 100% halicut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Sanks against Short Term financing arrangements in such cases, the halicut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in subsidiaries 1.7 Investment in associated companies/undertaking 1.8 Investment in associated companies/undertaking 1.9 Investment in associated companies/undertaking 1.9 Investment in associated companies/undertaking 1.10 Investment in subsidiaries 1.0 0 0 1.11 Investment in subsidiaries 1.12 Investment in subsidiaries 1.13 Statutory or regulatory depositsbasic deposits with the exchanges clearing house or central depository 1.14 On 0 0 0 1.15 Investment in subsidiaries 1.15 Investment in subsidiaries and clearing house. 1.16 (Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.10 On 0 0 0 1.11 Oliher deposits and prepayments 1.10 Investment in subsidiaries and other related parties 1.10 0 0 0 1.11 Oliher deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.10 0 0 0 1.11 Oliher deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.10 0 0 0 1.11 Olive deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.10 0 0 0 1.11 Olive deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables 1.1
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Not Item Financing arrangements. In such cases, the halicut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in subsidiaries 1.7 Investment in associated companies/undertaking 1.8 Item Financia (August 25, 2017) 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository 1.9 Margin deposits with exchange and clearing house. 1.0 Deposit with authorized intermediary against borrowed securities under SLB. 1.0 Deposit with authorized intermediary against borrowed securities under SLB. 1.10 Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables against Repo financing. 1.14 Amounts receivable against Repo financing. 1.15 In Short Fern Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16 Receivables from clearing house or securities exchange(s) 1.17 Receivables from clearing house or securities exchange of securities in all markets including MIM gains. 228,000 0 221 1.17 Receivables from clearing house or securities exchange(s) 1.18 Receivables on entitlements against trading of securities in all markets including MIM gains. 21.17 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAM based Haicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of net blance sheet value or value determined through adjustments.
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in subsidiaries 1.7 Investment in associated companies/undertaking 1.8 If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities 1.8 Isstatutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.0 Deposit with authorized intermediany against borrowed securities under SLB. 1.10 Differ deposits and prepayments 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.16 Receivables other than trade receivables 1.17 Receivables from clearing house or securities exchange(s) 1.18 Receivable on entitlements against trading of securities in all markets including MtM gains. 1.19 Receivables from customers 1.10 Receivables on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables of ner than those on account of entitlements against trading of securities held in the blocked account after applying VAR based halicut. 1.19 Lower of net balance sheet value or value determined through adjustments. 1.10 Lower of net balance sheet value or value determined through adjustments. 1.10 Lower of net balance sheet value or value determined through adjustments.
1.6 Investment in subsidiaries 0 0 0 1.7
Investment in associated companies/undertaking In Ir Islated 20% or Vala of each securities as computed by the Securites Exchange for respective securities 0 0 0 0 0 0 0 0 0
i. If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities ii. If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities ii. If is unlisted, 100% of net value. 1.8 Statutory or regulatory deposits basic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.0 Deposit with authorized intermediary against borrowed securities under SLB. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Excert of mark-up on amounts placed with financial institutions or debt securities 1.14 Amounts receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 228,000 0 228,000 0 229,000 0
whichever is higher. ii. If unlisted, 100% of net value. 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under St.B. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables against Repo financing. 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments) 1.15 i. Short Ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 220 1.16 Receivables other than trade receivables 1.17 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities held in the markets including MIM gains. ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables. 1.14 Amounts receivables. 1.15 Amounts receivables against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16 Receivables other than trade receivables 1.17 Receivables from clearing house or securities exchange(s) ii. Receivables from clearing house or securities exchange(s) ii. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin frading, 5% of the net balance sheet value. 0 0
or any ofher entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0.0 1.11 Other deposits and prepayments 1.108,172 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 0.0 0.0 0.0 1.11 Owin in respect of markup accrued on loans to directors, subsidiaries and other related parties 0.0 1.13 Dividends receivables. 0.0 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0.0 1.16 Receivables other than trade receivables 1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1. 100% value of claims other than those on account of entitlements against trading MtM gains. 1. 100% value of against trading of securities in all markets including MtM gains. 1. 100% value of against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. In case receivables are against margin trading, 5% of the net balance sheet value. 0.0 0.0 0.0 0.0 0.0 0.0 0.0
1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) 1.0% in respect of markup accrued on loans to directors, subsidiaries and other related parties 0 0 0 1 1.13 Dividends receivables. 0 0 0 1.14 Amounts receivables against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 224 1.16 Receivables other than trade receivables 0 0 0 0 1.10 Receivables from clearing house or securities exchange(s) 1.100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers 1.18 Receivables from customers 1.19 Receivables from customers 1.10 Loas receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) in case receivables are against margin trading, 5% of the net balance sheet value. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of net balance sheet value or value determined through adjustments.
1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark—up on amounts placed with financial institutions or debt securities 1.12 Accrued interest, profit or mark—up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables. 1.14 Amounts receivables against Repo financing. 1.15 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16 Receivables other than trade receivables 1.17 Receivables from Clearing house or securities exchange(s) 1.18 ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.19 Receivables from customers 1.10 Receivables from customers 1.11 Receivables on entitlements against trading of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 1. Lower of net balance sheet value or value determined through adjustments. 1. In case receivables are against margin trading, 5% of the net balance sheet value. 1. In case receivables are against margin trading, 5% of the net balance sheet value. 1. In case receivables are against margin trading, 5% of the net balance sheet value. 1. In case receivables are against margin trading, 5% of the net balance sheet value.
1.12 Accrued interest, profit or mark – up on amounts placed with financial institutions or debt securities etc. (NII)
etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 0 0 0 1.131 Dividends receivables. 0 0 0 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 0 226 1.16 Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s) 1.17 Receivables on entitlements against trading of securities in all markets including MtM gains. 1.18 Receivable on entitlements against trading of securities in all markets including MtM gains. 1.19 Receivables from customers 1.10 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, i. Lower of net balance sheet value or value determined through adjustments. 11.10 In case receivables are against margin trading, 5% of the net balance sheet value. 12.18 O O O O O O O O O O O O O O O O O O O
1.13 Dividends receivables. 0 0 0 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 0 1.16 Receivables other than trade receivables 0 0 0 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based value of value determined through adjustments. ii. In case receivables are against margin trading, 5% of the net balance sheet value. 0 0
1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 228 ii. Receivables other than trade receivables 0 0 1.16 Receivables from clearing house or securities exchange(s) ii. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 2,184,507 0 2,184 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 228 ii. Receivables other than trade receivables 0 0 1.16 Receivables from Clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all 0 0 0 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 0 0 0 0 0 0 0 0 0 0 0
shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 228,000 0 0 228 ii. Receivables other than trade receivables 0 0 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 2,184,507 0 2,184 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
ii. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months iii. Receivables other than trade receivables 0 0 0 1.16 Receivables from Clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all omarkets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
i. 100% value of claims other than those on account of entitlements against trading of securities in all ii. Receivable on entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 0
markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 2,184,507 0 2,184 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 0
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0
ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as 0 0
collateral upon entering into contract,
iii. Net amount after deducting haricut
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 552,734 0 552,734
iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 1,956,825 1,956,825 1,956,825
value of securities purchased for customers and held in sub-accounts after applying VAR based
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 0 0
II IJØ ICash and Bank halances
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 7,068,023 0 7,068
odon and bank bandness

Liquid Capital Statement

for the month of 31-JAN-20

of M/s. MND Investment (Pvt) Ltd

Submission Date 12-FEB-2020 12:33:37

Page 2 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	59,216,007	32,866,385	28,306,447
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	0	-	
	ii. Payable against leveraged market products	0 450 070	-	0.450.070
2.2	iii. Payable to customers Current Liabilities	9,158,079	0	9,158,079
2.2	i. Statutory and regulatory dues	0	0	
	ii. Accruals and other payables	473,018	-	473,018
	iii. Short-term borrowings	0	0	,
	iv. Current portion of subordinated loans	0	-	
	v. Current portion of long term liabilities vi. Deferred Liabilities	0	-	
	vii. Provision for bad debts	0	-	
	viii. Provision for taxation	0	0	
	ix. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	0	0	
	a financial institution including amount due against finance lease b. Other long-term financing	0	0	
	ii. Staff retirement benefits	0	-	
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in	0	0	
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	0	0	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.	0	0	
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	9,631,097	0	9,631,097
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	0	0	
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
2.4	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	0	0	
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments	0	0	
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (evaluding any amount due from the subsidiary)	_		
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	0	0	
3.5	Foreign exchange agreements and foreign currency positions			
3.3	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO	0	0	
3.7	Repo adjustment			

Liquid Capital Statement

for the month of 31-JAN-20

of M/s. MND Investment (Pvt) Ltd

Submission Date 12-FEB-2020 12:33:37

Page 3 Of 3

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	0	0	
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	310,163	310,163
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites Liquid Capital	49,584,910	310,163 32,556,222	