Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JUL-21**

of M/s. MND Investment (Pvt) Ltd

Submission Date 13-AUG-2021 10:15:57

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i. If list which ii. If u	estment in associated companies/undertaking	0	0	
which ii. If ui	isted 20% or VaR of each securities as computed by the Securites Exchange for respective securities	0	0	
	chever is higher.	Ŭ	Ŭ	
1.8 Statu	unlisted, 100% of net value.	0	0	
	utory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository ny other entity.	1,500,000	1,500,000	
1.9 Margi	gin deposits with exchange and clearing house.	9,500,000		9,500,000
	osit with authorized intermediary against borrowed securities under SLB. er deposits and prepayments	0 1,238,501	0 1,238,501	
	rued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1,230,301	0	
etc.(N				
	6 in respect of markup accrued on loans to directors, subsidiaries and other related parties denoted terms and be related parties denoted by the related by the related parties denoted by the related	0	0	
1.14 Amou	ounts receivable against Repo financing.	0	0	
	ount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement I not be included in the investments.)			
	ort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	121,093	0	121,093
	eceivables other than trade receivables	0	0	
1.16 Rece	eivables from clearing house or securities exchange(s)			
	0% value of claims other than those on account of entitlements against trading of securities in all kets including MtM gains.	0	0	
	eceivable on entitlements against trading of securities in all markets including MtM gains.	348,846	0	348,846
	ceivables from customers			
block	case receivables are against margin financing, the aggregate if (i) value of securities held in the cked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) ket value of any securities deposited as collateral after applying VaR based haircut.	0	0	
	wer of net balance sheet value or value determined through adjustments. case receivables are against margin trading, 5% of the net balance sheet value.	0	0	
ii. Net	et amount after deducting haircut	0		
	ncase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as	0	0	
	ateral upon entering into contract, let amount after deducting haricut			
iv. Inc	case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. alance sheet value	1,039,004	0	1,039,004
v. Inca value haircu	case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market ie of securities purchased for customers and held in sub-accounts after applying VAR based cuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	3,906,672	3,838,028	3,838,028
	urities held as collateral after applying VaR based haircuts. 200% haircut in the case of amount receivable form related parties.	0	0	
	sh and Bank balances			
	nk Balance-proprietory accounts	1,745,896		1,745,896
	ank balance-customer accounts Cash in hand	34,848,072		34,848,072

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JUL-21**

of M/s. MND Investment (Pvt) Ltd

Submission Date 13-AUG-2021 10:15:57

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	90,438,037	40,093,988	54,113,433
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	13,125	0	
	ii. Payable against leveraged market products	0	0	
2.2	iii. Payable to customers Current Liabilities	34,593,758	0	34,593,758
2.2	i. Statutory and regulatory dues	0	0	
	ii. Accruals and other payables	801,500	0	
	iii. Short-term borrowings	0	0	
	iv. Current portion of subordinated loans	0	0	
	v. Current portion of long term liabilities vi. Deferred Liabilities	0	0	
	vii. Provision for bad debts	0		
	viii. Provision for taxation	0	0	
2.2	ix. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease	0	0	
	b. Other long-term financing	0	0	
	ii. Staff retirement benefits	0	0	
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in	0	0	
	respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	0	0	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	35,408,383	0	35,408,383
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	0	0	
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
0.2	Concentration in securites lending and borrowing The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL	0	0	
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	borrowed Net underwriting Commitments			
5.5	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription	0	0	
	price; the aggregate of:	0	0	
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments	0	0	
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
2 5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total	0	0	
	assets denominated in foreign currency less total liabilities denominated in foreign currency	0	0	
3.6	Amount Payable under REPO	0	0	
3.7	Repo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JUL-21**

of M/s. MND Investment (Pvt) Ltd

Submission Date 13-AUG-2021 10:15:57

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financees/eller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	0	0	
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	314,411	314,411
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
3.10	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11 3.12	Total Ranking Liabilites Liquid Capital	0 55,029,654	314,411 39,779,577	314,411 18,390,639