Liquid Capital Statement

for the month of 30-SEP-21

of M/s. MND Investment (Pvt) Ltd

Submission Date 14-OCT-2021 15:44:12

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	5,458,049	5,458,049	
1.2	Intangible Assets	9,500,000	9,500,000	
	Investment in Govt. Securities Investment in Debt. Securities	0	0	
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	-	
	If unlisted than:	0	0	
	i. 10% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	0		
	Investment in Equity Securities	0	0	
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	3,244,705	685,550	2,559,155
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	17,850,072	17,850,072	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	0	0	
	provided that shares have not been alloted or are not included in the investments of securities broker.	0	0	
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	0	0	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries	0	0	
	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities	0	0	
	whichever is higher.	Ŭ		
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,500,000	0 1,500,000	
	or any other entity.	1,300,000	1,300,000	
	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	9,500,000	0	- / /
	Other deposits and prepayments	1,232,998	1,232,998	
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	0	0	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	0	0	
1.13	Dividends receivables.	0	0	
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement	0	0	
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	63,000	0	63,000
	ii. Receivables other than trade receivables	0	0	
	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.	0	0	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	126,844	0	126,844
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	0	0	
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	0	0	
	ii. Net amount after deducting haircut	o o	o l	
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as	0	0	
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	240,598	0	240,598
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	3,997,877	3,041,889	3,041,889
	value of securities purchased for customers and held in sub-accounts after applying VAR based	2,00.,011	2,5,550	2,3,536
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	0	0	
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	2,337,709 31,077,848	0	
	iii. Cash in hand	01,017,040		

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for the month of 30-SEP-21

of M/s. MND Investment (Pvt) Ltd

Submission Date 14-OCT-2021 15:44:12

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Payable to exchanges and clearing house 4,314,375 0 4,	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Todae Payables	1.19	Total Assets	86,129,700	39,268,558	48,947,043
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Payable against levelaged maket products 0 0 26,382,005 0 36,3	2.1				
II. Payable to customes			4,314,375		4,314,375
Current Liabilities			26 332 005		26 332 005
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V. Current portion of subcolinated clams 0 0 0 0 0 0 0 0 0			,- ,		1,014,343
V. Curent portion of long term liabilities vi. Devoken for bial debts vi. Potroken for bial debts vi. Long-ferm financing vi. Long-ferm financing debts vi. V					
vii. Provision for back debts vii. Non-Current Liabilities as per accounting principles and included in the financial statements 0 0 0 1 Non-Current Liabilities 1 Long-Term financing 1 Long-Term financing 2 Long-Term financing obtained from financial institution Long term portion of financing obtained from 0 0 0 2 Household Individual for the Control of Securities (Securities Control Liabilities and Securities (Securities Control Liabilities) 1 Long-Term financing obtained from financial institution Long term portion of financing obtained from 0 0 0 2 Household Individual Control Con				-	
Visit Provision for Essation 0 0 0 0 0 0 0 0 0			-		
X. Other liabilities as per accounting principles and included in the financial statements			-		
Non-Current Liabilities Long-Jerm financing Standard from financial institution. Long term portion of financing obtained from 0 0 0 0 0 0 0 0 0			-		
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iii Advance against shares for increase in Capital of Secutities torker 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Auditor is sutified that such advance is disgrint the increase of capital. Auditor is sutified that such advance is disgrint the increase of capital. Subordinated Loans Loans of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule Il provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard following conditions are specified a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting petiod b. No haircut will be allowed against short term portion which be repayable within next 12 months. C. In case of early repayment of loans, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. Iii. Subordinated loans which do not furfill the conditions specified by SECP 2.5 Iotal Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total finances. 3.3 Net underwriting Commitments (a) in the case of right issue where the market yolue of securities is less than or equal to the subscription price. The aggregate of the subscription price, the aggregate of the price of t					
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3.6 Amount Payable under REPO 0 0					
3.1 Repo adjustment			0	0	
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-SEP-21

of M/s. MND Investment (Pvt) Ltd

Submission Date 14-OCT-2021 15:44:12

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions	0	0	
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	301,077	301,077
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	0	301,077	301,077
3.12	Liquid Capital	54,468,977	38,967,481	16,985,243