Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAR-22** 

of M/s. MND Investment (Pvt) Ltd

Submission Date 11-APR-2022 13:07:42

	Submission Date 11-APR-2022 13.07.42		_	
			Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	547,210	547,210	
	Intangible Assets	9,500,000	9,500,000	
1.3	Investment in Govt. Securities	0		
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	0	0	
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	0		
1.5	Investment in Equity Securities	0	0	
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	3,129,950	278,421	2,851,529
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	18,111,704	<u>18,111,704</u> 0	
	provided that shares have not been alloted or are not included in the investments of securities broker.	0	0	
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	0	0	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries	0	0	
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities	0	0	
	whichever is higher.	0	0	
	ii. If unlisted, 100% of net value.	0	0	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	1,500,000	1,500,000	
1.9	Margin deposits with exchange and clearing house.	9,500,000	0	9,500,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	0	0	
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	984,325	984,325	
1.12	etc.(Nil)	0	0	
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	0	0	
1.13	Dividends receivables. Amounts receivable against Repo financing.	0	0	
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement	0	0	
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	69,000	0	69,000
	PLUS ii. Advance tax to the extent it is netted with provision of taxation.			
	iii. Receivables other than trade receivables	0	0	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	4,673,670	0	4,673,670
1.17	Receivables from customers	.,010,010		
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	0	0	
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	0	0	
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	0	0	
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	33,688	0	33,688
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	3,284,080	2,086,520	2,086,520
	value of securities purchased for customers and held in sub-accounts after applying VAR based	5,204,000	2,000,020	2,000,020
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	0	0	
1.18		0	0	
1.18	Cash and Bank balances			
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	15,530,714	0	15,530,714

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-MAR-22** 

of M/s. MND Investment (Pvt) Ltd

Submission Date 11-APR-2022 13:07:42

Bit Cash in hand         33,000         0         33,000           119         ICash in hand         33,000         0         33,000           119         ICash in hand         97,283,400         30,000,180         65,133,820           2         Itabilities         97,283,400         30,000,180         65,133,820           2         Itabilities         0         0         0           1         Payable optimized concentrances and clearing house         0         0         0           1         Payable optimized concentrances and clearing house         0         0         0         0           2         Current Itabilities         0         0         0         0         0           2         Current Itabilities         0         0         0         0         0           4         Updaying for tasking and regulator dues         0 <th></th> <th>Submission Date 11-AFR-2022 13.07.42</th> <th></th> <th>Page</th> <th>2 Of 3</th>		Submission Date 11-AFR-2022 13.07.42		Page	2 Of 3
III. Cash in hand         33.60         0         33.60         0         33.60           190         Icidal Assets         97.253.44         30.08.180         65.133.80           21         Incide Psycholes         0         0         0           III. Psychole Society part (Incident phone)         0         0         0           III. Psychole Society part (Incident phone)         34.28.51         0         34.28.51           21         Current Liabellities         0         0         0         0           1. Society and inspiration phone         0         0         0         1.25.000         0           1. Control Liabellities         0	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
170     Color Josefs     97.253.040     33.081.160     66.133.802       21     Indel Psycholes     0     0       1. Psycholes concentred and Clearing Power     0     0       1. Psycholes concentred and Clearing Power     0     0       1. Psycholes concentred and Clearing Power     0     0       2.2     Current Lubillities     34.202.513     0       2.4     Current Lubillities     0     0       1. Account and Clearing Power     0     0       1. Account And Clearing Power     0     0       1. Account Clearing Power     0     0       1. Long - Term Financing Power     0     0       1. Long - Term Financing Power     0     0       1. Account Clearing Power     0     0       1. Account Clearing Power     0     0       1. Long - Term Financing Power     0     0       1. Long - Term Financing Power     0     0       1. Account Clearing Power     0     0       1. Account Clearing			Pak Rupee	Adjustments	Value
2       Instructions       0         21       Tracker payables       0         12       Tracker payables       0         13       Tracker payables       0         14       Status       34/280.51       0         15       Status       0       0         15       Status       0       0         15       Status       0       0         16       Status       0       0         16       Status       0       0         16       Status       0       0         16       Status       0       0         17       Common instancing       0       0         18       Status       0       0         11       Common instatus       0       0         12       Status       0       0       0         13       Non-Current Liabilities       0       0       0         14       Status       0       0       0       0         14       Status       0       0       0       0       0         14       Status       0       0       0       0       0		iii. Cash in hand	33,600	0	33,600
21       Tridde Payables       0       0         In Payable scalarity leveraged makes products       0       0       0         10       Payable scalarity leveraged makes products       0       0         22       Octamul Labellities       0       0         11       Payable scalarity leveraged makes products       0       0         12       Octamul Labellities       0       0         14       Accasa and other payables       1,215,068       0       0         15       Statis Labellities       0       0       0       0         16       Accasa and other payables       0       0       0       0         16       Accasa and other payables       0 <t< td=""><td>1.19</td><td>Total Assets</td><td>97,253,040</td><td>33,008,180</td><td>65,133,820</td></t<>	1.19	Total Assets	97,253,040	33,008,180	65,133,820
Instantion         Instantial expension         Instantial expension         Instantial expension           21         Current lighting         42.29.13         0         34.29.23         0           21         Current lighting         0         0         0         0           22         Current lighting         0         0         0         0           23         Current lighting         0         0         0         0           24         Current lighting         0         0         0         0         0           24         Current lighting         0         0         0         0         0         0           23         Current lighting and control         0	2	Liabilities			
Inspace         provide against leveraged maker products         0         0           22         Control Liabilities         0         0           14         Additional and other provides         0         0           15         Second and other provides         0         0           16         Control Liabilities         0         0           16         Second and other provides         0         0           16         Control Liabilities         0         0           17         Mon-Control Liabilities         0         0           18         Provides of bail definition         0         0           18         Provides of bailed from financing obtained from financing financing obtained from financing financing obtained from financing obtained from financing obtained from financing financing obtained from financing financing obtained from financing obtained from financing obtained from financing financing obtained from financing financing financing financing obtained fr	2.1				
II. Physicale to customer.     34/239,313     0     34/239,313       21     Current Lisbilities     3       22     Current Lisbilities     0     0       13     0     34/239,313     0     34/239,313       14     215,008     0     0       15     0     0     0       16     0     0     0       16     0     0     0       17     0     0     0       18     0     0     0       18     0     0     0       18     0     0     0       18     0     0     0       18     0     0     0       18     0     0     0       19     0     0     0       10     0     0     0       10     0     0     0       11     0     0     0       12     0     0     0       12     0     0     0       12     0     0     0       12     0     0     0       13     0     0     0       14     0     0       15     0     0 </td <td></td> <td></td> <td>-</td> <td>-</td> <td></td>			-	-	
22       Current Liabilities       0       0         13       Accuus and other provides       1.216.086       0       1.216.086         14       Accuus and other provides       0       0       0       1.216.086       0       1.216.086       0					24 220 512
1 Statutory and enginators dues       0       0         1 Account of the payables       1216.666       0         1. Statutory and enginators dues       0       0         1. Concernent statutions       0       0         1. Determent status statusons       0       0         1. Determent status statusons       0 <td></td> <td></td> <td>54,259,515</td> <td>0</td> <td>34,239,313</td>			54,259,515	0	34,239,313
I. Accurate and state payables       1.216.088       0       1.216.088         II. Source in boundary of source mitabilities       0       0         V. Content potential of source mitabilities       0       0         II. Provide of transmitting principles and included in the financial statements       0       0         II. Dong time financing       0       0         II. Long time financing       0       0         II. State finance applies there contains the result of source to the compare type and there contains the result of source to the compare type and there contains the result of source to the compare type and there contains the result of source to the compare type and there contains the result of source to the comp			0	0	
iv. Current portion of subodinated large         0         0           v. Current portion of subodinated large         0         0           iv. Declared Liabilities         0         0           iv. Declared Liabilities         0         0           v. Declared Liabilities         0         0           v. Other Liabilities as per accounting principles and incluside in the financial statements.         0         0           2.1         Non-Current Liabilities         0         0           1.2.1         Long-Term financing obtained from financial institutors long term pertion of financing obtained from financial institutors.         0         0           1.3.1         Long-Term financing obtained these fit         0         0         0           1.3.2         Long-Term financing obtained from financial institutors.         0         0         0           1.3.2         Long-Term financing obtained from financial institutors.         0         0         0           1.3.2         Long-Term financing obtained from financial institutors.         0         0         0           1.3.2         Long-Term financing obtained         1         0         0         0           1.3.2         Long-Term financing obtained         1         0         0         0		ii. Accruals and other payables	1,215,086	0	1,215,086
v. Current portion of long term liabilities.         0         0           v. Defined in Liabilities.         0         0           w. Other liabilities and data.         0         0           w. Other liabilities are accounting principles and included in the financial statements         0         0           w. Other liabilities are accounting principles and included in the financial statements         0         0           1. Long-Term financing balanced from financial institution. Long term portion of financing obtained from 0         0         0           a. Emperation for the control of the control of financing obtained from financial institution to the control of financing obtained from 0         0         0           a. The costing authencid where capital allows the poposed enhanced share capital allows the poposed enhanced share capital allows the poposed enhance of advances and an indiverse capital shares if.         0         0           a. The costing authencid where capital allows the poposed enhance and an loguitator requirements where the reasons in capital of costing authencid where capital shares and in advance and an loguitator requirements where the reasons in capital of the costing supericide to advance and principles and include the poposed enhance and an advance and an loguitator advance advan					
vi. Defined Liabilities         0         0           vi. Device of the back detx.         0         0           vi. Device of the back detx.         0         0           2.1         Non-Control Liabilities         0         0           a. Long-term financing         0         0         0           a. Long-term financing         0         0         0           b. Other background detx detx.         0         0         0           b. Other background detx.         0         0         0           c. Staff calorment background detx.         0         0         0           c. Background detx.         0         0         0         0           a. Background detx.         0         0         0         0           a. Background detx.         0         0         0         0           b. Back dety in Sus of the back againt dety and such advance againt dety and such advance againt dety and such advance is againt dety advance is againt dety advance is againt dety adva		IV. Current portion of subordinated loans			
will Providen for Laxation         0         0           x. Other liabilities are paraccounting principles and included in the financial statements         0         0           1. Long-Lerm financing claimed from financing institution: Long term portion of financing obtained from 1         0         0           1. Long-Lerm financing obtained from financing institution: Long term portion of financing obtained from 0         0         0           1. Sign Feltement benefits         0         0         0         0           1. A concerce against shares fit         0         0         0         0           1. R. Advance against shares fit         0         0         0         0           2. Relevant Regulatory approvals have been obtained         0         0         0         0           2. Advantage of advances of parts dataset if the increase of capital         0         0         0         0           2. Advantimate of the increase in part optics and includes of the increase of capital at the increase of capital at the increase of capital at the increase of capital increase of capital at the increase of capital includes of the increase of capital at the increase of capital and includes of the increase in part optics and inc					
is. Other liabilities are praceduring principles and included in the financial statements         0           21         Non-Current Liabilities					
2-3       Non-Current Liabilities           1. Long-Term financing obtained from financial institution Long tem potion of financing obtained from       0       0         0. The financing obtained from financial institution Long tem potion of financing obtained from       0       0         0. Staff element benching       0       0       0         1. At wards a staff of the comparity has approved the increase in capital       0       0       0         1. The element benching withort of the accesse of bablieled       0       0       0         2. Subordinated Loars which fulfill the conditions specified by SCP are allowed to be deducted.       0       0         2. Subordinated Loars which fulfill the conditions specified by SCP are allowed to be deducted.       0       0         2. Subordinated Loars which fulfill the conditions specified by SCP are allowed to be deducted.       0       0         2. Subordinated Loars which fulfill the conditions specified by SCP       0       0       0         3. Long agement must be submitted to exchange.       1       0       0       0         3. Long agement must be advort					
1. Long-Term financing       Image: Section 2016         a. Long-Term financing abbited from financial institutor: Long term portion of financing obtained from       0         b. Other long-term financing       0         1. Staff citement bonefits       0         1. Staff citement bonefits       0         1. Between against shares finance (gains against the proposed enhanced share capital shares finance) (gainst shares f	2.3		0	0	
a Long-Term Timancing obtained from financial institution: Long term portion of financing obtained from       0       0         b Differ long-term Timancing       0       0       0         iii Suffictionment Long-Rin merses in Capital of Securities tooken: 10% halicut may be allowed in       0       0         iii Suffictionment Long-Rin merses in Capital of Securities tooken: 10% halicut may be allowed in       0       0         iii Suffictionment Long-Rin merses in Capital of Securities tooken: 10% halicut may be allowed in       0       0         iii Suffiction Halion Capital of Securities tooken: 10% halicut may be allowed in       0       0         iii Suffiction Halion Capital State Capital of Securities tooken: 10% halicut may be allowed in       0       0         iii Suffiction Halion Capital State					
a financial institution including amount due against finance lesse         0         0           b. Other long, term financing         0         0         0           11. Staff retirement benefits         0         0         0         0           a. The existing authorized share copital of Securities broker. 100% haiccut may be allowed in         0         0         0           a. The existing authorized share copital allows the proposed enhanced share capital         0         0         0           c. Relevant Regulatory approvals have been obtained         0         0         0         0           c. Bub control to company has approved the increase of capital.         0         0         0         0           s. Uncontinued to any capital share been completed         0         0         0         0           s. Autor contrast share been completed in the invarial statements         0         0         0           s. Uncontinued to any contrast share been completed systemes of capital.         0         0         0         0           s. Uncontinued to any contrast statements         0         0         0         0         0           s. Uncontinued to any contrast statements         0         0         0         0         0         0         0         0         0         0 </td <td></td> <td></td> <td>0</td> <td>0</td> <td></td>			0	0	
I: Staff relitions the notifis         0         0           II: Advance against shares for increase in Capital of Securities broker 100% haircut may be allowed in respect of advance against shares if:         0         0           a. The existing authorized share capital allows the proposed enhanced share capital         0         0         0           b. Boad of Directors of the company has approved the increase in capital         0         0         0           C. Relearing authorized share capital allows the proposed enhanced share capital         0         0         0           c. Addition is statified that such advances and all regulatory requirements relating to the increase in paid up capital have been completed         0         0         0           e. Aution is statified that such advances and included in the financial statements         0         0         0           e. Aution is statified that such advances is against the horizes of capital         0         0         0           e. Constrainted line provide statistical manual statistical manutical statistical manual statistical manutistatin		a financial institution including amount due against finance lease	Ũ	•	
iii Advance against shares for increase in Capital of Securities torker. 10% halicut may be allowed in respect of advance against shares.       0       0         a. The existing authorized share capital allows the proposed enhanced share capital       0       0         c. Relevant Regulatory approvals have been obtained       0       0         d. Ince is no unreasonable delay in Issue of shares against advance and all regulatory requirements to lating to the increase in capital thave been completed.       0       0         e.a. Autorized Loans       0       0       0       0         24.       Subordinated Loans       0       0       0         100 for Subordinated Loans       0       0       0       0         24.       Subordinated Loans       0       0       0       0         100 for Subordinated Loans       100 for Subordinated Loans       0       0       0       0         25.       Subordinated Loans       100 for Subordinated Loans       0       0       0       0         100 for Subordinated Loans       100 for Subordinated Loans       100 for Subordinated Loans       0       0       0       0       0         25.       Total Liabilities Mediating Isonation state paysable within next 12 months.       100 for Subordinated Loans       0       0       0       0					
is The existing authorized share capital allows the proposed enhanced share capital         b.           is The existing authorized share capital allows the proposed enhanced share capital         b.           is Boad of Directors of the company has approved the increase in capital         c.           circum Regulatory approvals have been cotatinad         d.           d. These is no unreasonable delay in issue of shares against advance and all regulatory requirements         o           is difficult to the increase in paid to capital have been completed.         0           is Content labilities as per accounting principles and included in the financial statements.         0         0           is Content labilities as per accounting principles and must clearly televened to be deducted:         0         0           The Schedule III provides and must clearly reflect the amount to be repaid         0         0           is a loan agreement must be executed on the Liquid Capital and revised					
a The existing authorized share capital allows the proposed enhanced share capital b. Boad O Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. These is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Author is satisfied that such advances and principles and incloaded in the financial statements is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation and increase of capital is O ther failules as part activation of the financial statements is O o O			0	0	
c. Relevant Regulatory approvals have been obtained		a. The existing authorized share capital allows the proposed enhanced share capital			
d. There is no unreasoniable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.       0         e. Auditor is satisfied that such advance is against the increase of capital.       0       0         iv. Other liabilities age accounting principles and included in the financial statements       0       0         2.4       Subordinated Loans       0       0         b. Li00% of Subordinated Loans which fulfil the conditions specified to SECP. In this regard, following conditions are specified:       0       0         a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of repointing period.       0       0         b. No halcut will be allowed against short term portion which is repeated within next 12 months.       0       0         c. In case of any repointing period.       0       0       0         2.5       Total Liabilities Relating to:       35.464.599       0       35.464.599         3.       Concentration in Margin Financing       0       0       0       0         1.       Concentration in Margin Financing       0       0       0       0         3.       Concentration in Margin Financing       0       0       0       0       0       0       0       0       0       0		b. Boad of Directors of the company has approved the increase in capital			
elating to the increase in paid up capital have been completed.       0         e. Auditor is satisfied that such advance is against the increase of capital.       0         v. Other liabilities as per accounting principles and included in the financial statements       0         24       Subordinated Loans which fulfil the conditions specified by SECP are allowed to be deducted.       0         The Schedule II provides that 10% shared on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       0         b. No halcut will be allowed against short term portion which is repayable within next 12 months.       0       0         c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       0       0         ii. Subordinated Cleans which do not fulfil the conditions specified by SECP       0       0       0         2.5       Iotal Liabilities       35,454,599       0       35,454,599       0       35,454,599         3.1       Concentration in Margin Financing              the amount actual tead client o client basis by which any amount receivable from any of the financees sceed 10% of the aggregate of anounts receivable from total finances.       0       0          3.2       Concentration in Margin Financing and borrowing         <					
iv. Other liabilities as per accounting principles and included in the financial statements         0         0           24         Subordinated Loans         0         0           100% of Subordinated Loans         0         0           201         12         0         0         0           201         12         0         0         0         0           215         total Liabilities         0         0         0         0           225         total Liabilities         10         0         0         0         0           12         Concentration in Margin Financing					
24       Subordinated Loans           100% of subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule III provides that 10% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of repating paried b. No haircut will be allowed against shot term portion which is repayable within next 12 months. c: in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subortified to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP       0       0         2.5       Total Liabilities Relating to : Total Liabilities Relating to :       35,454,599       0       35,454,599         3.1       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. The amount by which the aggregate of financese to the Unity of the aggregate of mount see of the basis day due to total finances.       0       0         3.2       Concentration in Securities lending and borrowing The amount by which the aggregate of. (1) Amount deposited by the borower with NCCPL (1) Cash margins paid and (10) the wate of securities lending and borrowing The amount by which the aggregate of. (1) Amount deposited by the underwiting commitments and (1) the case of right issues + if the market value of securities is less					
100% of Subordinated loams which fulfill the conditions specified by SECP are allowed to be deducted:       0       0         a. Loam agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       0       0         b. No harcut will be allowed against short temp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       0       0         c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subordineted to expanyble within next 12 months.       0       0         c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       0       0         ii. Subordinated loars which do not fulfill the conditions specified by SECP       0       0       0         31< Concentration in Margin Financing			0	0	
The Schedule III provides that 100% halrout will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:       a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       b. No halicut will be allowed against shot term portion which is repayable within next 12 months.       c. In case of early repayment of iona, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       0       0         2.5       Total Liabilities Relating to :       35.454.599       0       35.454.599         3.1       Concentration in Margin Financing.            The amount caccutated client-to - client basis by which any amount receivable from any of the finances seceed 10% of the agregate of amounts receivable from total finances.       0       0         3.2       Concentration in Margin Financing.            The amount caccutated client-to - client basis by which any amount receivable from any of the finances seceed 10% of the agregate of:       0       0       0         3.3       Concentration in Securities lending and borrowing.             I/ib a amount by which the aggregate of:       0       0       0       0       0         3.4       Net underwriting commitments and (i) the walket value of securities is less than or equal to th			0	0	
conditions specified by SECP. In this regard, following conditions are specified:       a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period         a. b. No haicut will be allowed agains short term portion which is repayable within next 12 months.       c. In case of early repayment of Ioan. adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be exchange.         ii. Subordinated Ioans which do not fulfill the conditions specified by SECP       0       0         2.5       Total Liabilities Relating to :       36,454,599       0       35,454,599         3.1       Concentration in Margin Financing       -       -       -       -         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       0       0       0         2.6       Concentration in securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0       0       0         3.3       Net underwriting Commitments and (i) the value of securities pledged as margins exceed the subscription price. The aggregate of: () A mount deposite subscription price: the aggregate of securities is less than or equal to the subscription price. St of the haircut multiplied by the norther writing commitments and (i) the value by which the total assets of the subscription price. St of the haircut multiplied by the net underwriting commitments and (i) the value by which the total assets of the subscl			0	0	
after 12 months of reporting period       after 12 months of reporting period         b No haircut will be allowed against short term portion which is repayable within next 12 months.       c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid         Capital statement must be submitted to exchange.       0       0         2.5       Total Liabilities Relating to :       35,454,599       0       36,454,599         3       Ranking Liabilities Relating to :       0       0       0         3.1       Concentration in Margin Financing       0       0       0         The amount calculated client-to- client basis by which any amount receivable from any of the finances acceed 10% of the aggregate of amounts receivable from total finances.       0       0         3.2       Concentration in securities Lending and borrowing       0       0       0         1.1       Cash margins paid and       0       0       0       0         3.3       Net underwriting Commitments       0       0       0       0       0         3.3       Net underwriting commitments and       0<					
b. No haricut will be allowed against short term portion which is repayable within next 12 months.       .         c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       0         ii. Subordinated loans which do not fulfill the conditions specified by SECP       0       0         2.5       Total Liabilities       35,454,599       0       35,454,599         3       Ranking Liabilities Relating to :       3       4       3       4       3       4					
c. In case of early repayment of loan, adjustment is hall be made to the Liquid Capital and revised Liquid					
ii. Subordinated loans which do not fulfill the conditions specified by SECP       0       0         2.5       Total Liabilities       35,454,599       0       35,454,599         3       Ranking Liabilities Relating to :       36,454,599       0       35,454,599         3.1       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances.       0       0         3.2       Concentration in securites lending and borrowing The amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0       0         3.3       Net underwriting Commitments (a) in the case of right issuse : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the saw by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value of which the nuderwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haicut multiplied by the nuderwriting commitments       0       0         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total		c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
2.5       Total Liabilities       35,454,599       0       35,454,599         3       Ranking Liabilities Relating to :       35,454,599       0       35,454,599         3.1       Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.       0       0         3.2       Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrowr with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments (a) in the case of fight issues : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the solw of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 125% of the net underwriting commitments (c) in any other case: 125% of the net underwriting commitments (d) in any other case: 125% of the net underwriting commitments (d) in any other case: 125% of the subsidiary (excluding any amount due from the subsidiary) (exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) (d) exceed the total liabilities of the subsidiary (excluding currency positions 5% of the net position in foreign currency. Net position in foreign currency weans the difference of total assets denominated in foreign c		Capital statement must be submitted to exchange.			
3       Ranking Liabilities Relating to :		II. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
3       Ranking Liabilities Relating to :	2.5	Total Liabilites	35,454,599	0	35,454,599
3.1       Concentration in Margin Financing       0         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       0         3.2       Concentration in securites lending and borrowing       0         The amount by which the aggregate of:       0       0         (i) Amount deposited by the borrower with NCCPL       0       0         (ii) Cash margins paid and       0       0         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments       0       0       0         (i) the case of right issuse : if the market value of securities is less than or equal to the subscription price: the aggregate of:       0       0         (i) the solw of Haircut multiplied by the underwriting commitments axceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         3.4       Negative equity of subsidiary       0       0       0         exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary)       0       0       0         sets denominated in foreign currency positions       5% of the net					
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       0       0         3.2       Concentration in securites lending and borrowing       0       0         The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments (a) in the case of right issue : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the nuderwriting commitments is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting (b) in any other case : 12.5% of the net underwriting (c) in the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilititis of the subsidiary (excluding any amount d	-				
financese seceed 10% of the aggregate of amounts receivable from total finances.       Image: Concentration in securites lending and borrowing         3.2       Concentration in securites lending and borrowing       0         The amount by which the aggregate of:       0       0         (i) Amount deposited by the borrower with NCCPL       0       0         (ii) Cash margins paid and       0       0         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments       0       0         (a) In the case of right issue: if the market value of securites is less than or equal to the subscription price; the aggregate of:       0       0         (i) the value by which the underwriting commitments and       0       0       0         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         3.4       Negative equity of subsidiary       0       0       0         3.4       Negative equity of subsidiary       0       0       0         3.5       Foreign exchange agreements and foreign currency positions       0       0       0		The amount calculated client-to- client basis by which any amount receivable from any of the	0	0	
The amount by which the aggregate of:       0       0         (i) Amount deposited by the borrower with NCCPL       0       0         (ii) Cash margins paid and       0       0         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments       0       0       0         (a) in the case of fight issuse : if the market value of securities is less than or equal to the subscription price; the aggregate of:       0       0       0         (i) the 50% of Haircut multiplied by the underwriting commitments and       0       0       0       0         (ii) the value by which the underwriting commitments exceeds the market price of the securities.       0       0       0         (i) the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0       0         (b) in any other case: 12.5% of the net underwriting commitments       0       0       0       0         3.4       Negative equity of subsidiary       -       -       -       -       -         1.5       Foreign exchange agreements and foreign currency positions       -       -       -       -       -       -       -       -		financees exceed 10% of the aggregate of amounts receivable from total financees.			
(i) Amount deposited by the borrower with NCCPL       (ii) Cash margins paid and       (iii) Cash margins paid and         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed	3.2				
(ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       0         (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       0         (i) the 50% of Haircut multiplied by the underwriting commitments and       0       0         (ii) the value by which the underwriting commitments exceeds the market price of the securities.       0       0         In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         3.4       Negative equity of subsidiary       0       0       0         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) assets denominated in foreign currency. Net positions 5% of the net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency and the foreign currency and the foreign currency and the market of the asset of the subsidiary (excluding currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities denom			0	0	
borrowed       1       0       0         3.3       Net underwriting Commitments       0       0         (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       0       0         (i) the 50% of Haircut multiplied by the underwriting commitments and       0       0       0         (ii) the value by which the underwriting commitments exceeds the market price of the securities.       1       1       1         In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         (b) in any other case : 12.5% of the net underwriting commitments       0       0       0         3.4       Negative equity of subsidiary       0       0       0         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       0       0       0         3.5       Foreign exchange agreements and foreign currency positions       5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency       0       0         3.6       Amount Payable under REPO       0       0       0       0					
3.3       Net underwriting Commitments       0       0         (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       0       0         (i) the 50% of Haircut multiplied by the underwriting commitments and       0       0       0         (ii) the value by which the underwriting commitments exceeds the market price of the securities.       0       0         In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         (b) in any other case : 12.5% of the net underwriting commitments       0       0       0         3.4       Negative equity of subsidiary       0       0       0         reaced the total liabilities of the subsidiary (excluding any amount due from the subsidiary)       0       0       0         3.5       Foreign exchange agreements and foreign currency positions       0       0       0         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0       0					
(a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments003.4Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary003.5Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency003.6Amount Payable under REPO000					
price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments003.4Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary003.5Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency003.6Amount Payable under REPO000					
(i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities.         In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting       0       0         (b) in any other case : 12.5% of the net underwriting commitments       0       0       0         3.4       Negative equity of subsidiary       0       0         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       0       0         3.5       Foreign exchange agreements and foreign currency positions       0       0         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0       0			0	0	
In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting       0       0         (b) in any other case : 12.5% of the net underwriting commitments       0       0       0         3.4       Negative equity of subsidiary       0       0       0         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       0       0         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0       0					
the Haircut multiplied by the net underwriting       0       0         (b) in any other case : 12.5% of the net underwriting commitments       0       0         3.4       Negative equity of subsidiary       0       0         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       0       0         seceed the total liabilities of the subsidiary       0       0       0         3.5       Foreign exchange agreements and foreign currency positions       0       0         5% of the net position in foreign currency.Net position in foreign currency       0       0         3.6       Amount Payable under REPO       0       0					
(b) in any other case : 12.5% of the net underwriting commitments       0       0         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       0       0         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0					
3.4       Negative equity of subsidiary       Image: constraint of the subsidiary       Image: constraint of the subsidiary         3.4       Negative equity of subsidiary       Image: constraint of the subsidiary       Image: constraint of the subsidiary       Image: constraint of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       Image: constraint of the subsidiary       Image: constraint of the subsidiary       Image: constraint of the subsidiary         3.6       Amount Payable under REPO       Image: constraint of the subsidiary       Image: constraint of the subsidiary       Image: constraint of the subsidiary		(b) in any other case : 12.5% of the net underwriting commitments	0	0	
The amount by which the total assets of the subsidiary ( excluding any amount due from the subsidiary)       0       0         exceed the total liabilities of the subsidiary       0       0         3.5       Foreign exchange agreements and foreign currency positions       0       0         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0       0	3.4				
3.5       Foreign exchange agreements and foreign currency positions       0       0         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0       0		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       0       0         3.6       Amount Payable under REPO       0       0	2 5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency3.6Amount Payable under REPO0	3.5				
3.6   Amount Payable under REPO   0   0			0	0	
3.7			0	0	
	3.7				

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAR-22** 

of M/s. MND Investment (Pvt) Ltd

Submission Date 11-APR-2022 13:07:42

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received , less value of any securites deposited as collateral by the purchaser after applying haircut less the purchaser.	0	0	
3.8	applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	335,474	335,474
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haiccuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	0	0	
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
3.11	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. Total Ranking Liabilites	0	335,474	
3.12	Liquid Capital	61,798,441	32,672,706	29,343,747